

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 4/99

CTO1D

IMPORTANT: Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

SUBDIVISION: Village of Lockland CODE# 061- 44366

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 09/09/99

CONTACT William R. McCormick PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX (513) 721-0607 E-MAIL _____

PROJECT NAME: Worthington Avenue Reconstruction

SUBDIVISION TYPE

(Check Only 1)

- 1. County
- 2. City
- 3. Township
- ☒ 4. Village
- 5. Water/Sanitary District
(Section 6119 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- 1. Grant \$200,000.00
- 2. Loan \$
- 3. Loan Assistance \$

PROJECT TYPE

(Check Largest Component)

- ☒ 1. Road
- 2. Bridge/Culvert
- 3. Water Supply
- 4. Wastewater
- 5. Solid Waste
- 6. Stormwater

TOTAL PROJECT COST: \$ 300,000.00

FUNDING REQUESTED: \$ 200,000 .00

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ 200,000.00

LOAN ASSISTANCE: \$ _____

SCIP LOAN: \$ _____ RATE: _____ % TERM: _____ yrs.

RLP LOAN: \$ _____ RATE: _____ % TERM: _____ yrs.

(Check Only 1)

- ☐ State Capital Improvement Program
- ☐ Local Transportation Improvements Program

☒ Small Government Program

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ /C _____

\$ _____

Local Participation _____ %
_____ %

OPWC Participation _____ %

Project Release Date: ____/____/____

OPWC Approval: _____

APPROVED FUNDING:

Loan Interest Rate:

Loan Term: _____ years

Maturity Date: _____

Date Approved: ____/____/____

SCIP Loan _____ RLP Loan _____

1.0 PROJECT FINANCIAL INFORMATION

1.1 PROJECT ESTIMATED COSTS:
(Round to Nearest Dollar)

TOTAL DOLLARS

**FORCE ACCOUNT
DOLLARS**

a.) Basic Engineering Services:

\$.00

Preliminary Design \$.00

Final Design \$.00

Bidding \$.00

Construction Phase \$.00

Additional Engineering Services

\$.00

*Identify services and costs below.

b.) Acquisition Expenses:

Land and/or Right-of-Way

\$.00

c.) Construction Costs:

\$ 300,000 .00

d.) Equipment Purchased Directly:

\$.00

-

e.) Permits, Advertising, Legal:

(Or Interest Costs for Loan Assistance
Applications Only)

\$.00

f.) Construction Contingencies:

\$.00

g.) TOTAL ESTIMATED COSTS:

\$ 300,000 .00

*List Additional Engineering Services here:
Service:

Cost:

1.2 PROJECT FINANCIAL RESOURCES:
(Round to Nearest Dollar and Percent)

	DOLLARS	%
a.) Local In-Kind Contributions	\$ _____ .00	_____
b.) Local Revenues	\$ <u>100,000</u> .00	<u>33</u>
c.) Other Public Revenues	\$ _____ .00	_____
ODOT	\$ _____ .00	_____
Rural Development	\$ _____ .00	_____
OEPA	\$ _____ .00	_____
OWDA	\$ _____ .00	_____
CDBG	\$ _____ .00	_____
OTHER _____	\$ _____ .00	_____
SUBTOTAL LOCAL RESOURCES:	\$ <u>100,000</u> .00	<u>33</u>
d.) OPWC Funds		
1. Grant	\$ <u>200,000</u> .00	<u>67</u>
2. Loan	\$ _____ .00	_____
3. Loan Assistance	\$ _____ .00	_____
SUBTOTAL OPWC RESOURCES:	\$ <u>200,000</u> .00	<u>67</u>
e.) TOTAL FINANCIAL RESOURCES:	\$ <u>300,000</u> .00	<u>100%</u>

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# _____ Sale Date: _____

STATUS: (Check one)

Traditional _____

Local Planning Agency (LPA) _____

State Infrastructure Bank _____

2.0 PROJECT INFORMATION

If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: Worthington Avenue Reconstruction

2.2 BRIEF PROJECT DESCRIPTION - (Sections A through C):

A: SPECIFIC LOCATION:

Project limits are Worthington Avenue from R/R tracks to S. Wayne Avenue.
Please see attached location map.

PROJECT ZIP CODE: 45215

B: PROJECT COMPONENTS:

- 1.) Remove the existing pavement down to subgrade.
- 2.) Remove and replace existing curb.
- 3.) Remove and replace storm sewer system.
- 4.) Remove and replace concrete curbs.
- 5.) Replace existing sidewalk.
- 6.) Reconstruct roadway with full depth asphalt.
- 7.) Grading, seeding, and mulching as necessary.

C: PHYSICAL DIMENSIONS / CHARACTERISTICS:

The length of the proposed project is 1200 LF. The width of the existing roadway is 42 feet. Existing storm drains and curbs are deteriorated and replacement is the only feasible solution. The existing pavement is heavily deteriorated and has numerous base failures. Total reconstruction is the only option to make this road useable.

D: DESIGN SERVICE CAPACITY:

Detail current service capacity vs. proposed service level.

Road or Bridge: Current ADT 1289 Year: 1999
Projected ADT: same Year:

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: \$ Proposed Rate: \$

Stormwater: Number of households served:

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 25 Years.

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$ <u>300,000.00</u>
TOTAL PORTION OF PROJECT NEW/EXPANSION	\$ <u>.00</u>

4.0 PROJECT SCHEDULE: *

	BEGIN DATE	END DATE
4.1 Engineering/Design:	<u>10/01/99</u>	<u>12/01 /99</u>
4.2 Bid Advertisement and Award:	<u>08/01 /00</u>	<u>09/01 /00</u>
4.3 Construction:	<u>10/15 /00</u>	<u>08/31 /01</u>
4.4 Right-of-Way/Land Acquisition:	<u>NA</u>	<u>/ /</u>

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

5.0 APPLICANT INFORMATION:

5.1 CHIEF EXECUTIVE

OFFICER	<u>Jim Brown</u>
TITLE	<u>Mayor</u>
STREET	<u>101 N. Cooper Avenue</u>
CITY/ZIP	<u>Cincinnati ,Ohio 45215</u>
PHONE	<u>(513) 761-1126</u>
FAX	<u>(513) 761-4948</u>
E-MAIL	

5.2 CHIEF FINANCIAL

OFFICER	<u>Wayne Poe</u>
TITLE	<u>Clerk</u>
STREET	<u>101 N. Cooper Avenue</u>
CITY/ZIP	<u>Cincinnati, Ohio 45052</u>
PHONE	<u>(513)761-1126</u>
FAX	<u>(513)761-4948</u>
E-MAIL	

5.3 PROJECT MANAGER

PROJECT MANAGER	<u>William R. McCormick</u>
TITLE	<u>Project Engineer</u>
STREET	<u>2021 Auburn Avenue</u>
CITY/ZIP	<u>Cincinnati, Ohio 45219</u>
PHONE	<u>(513) 721-5500</u>
FAX	<u>(513) 721-0607</u>
E-MAIL	

Changes in Project Officials must be submitted in writing from the CEO.

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [] below that each item listed is attached.

- [X] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- [X] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- [X] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature.
- [NA] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [NA] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- [X] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- [X] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

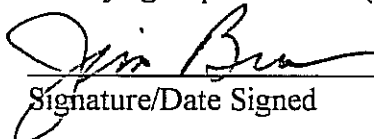
7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

JIM BROWN, MAYOR

Certifying Representative (Type or Print Name and Title)

 9/21/99
Signature/Date Signed

PROJECT: WORTHINGTON RECONSTRUCTION
ENG. EST.: \$300,000.00

ENGINEER'S
ESTIMATE

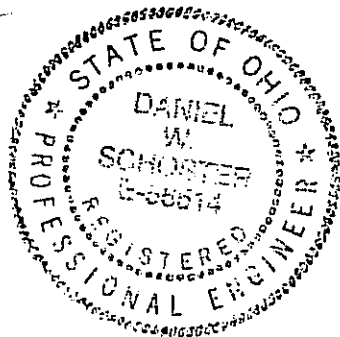
REF #	ITEM	DESCRIPTION	UNIT	QUANT	UNIT PRICE	TOTAL
1	202	REMOVE EX. PAVEMENT	SY	5,600	5.00	\$ 28,000.00
2	202	UNDERCUT, REMOVE & REPLACE	CY	200	50.00	\$ 10,000.00
3	202	REMOVE & REPLACE DRIVE APRONS	SY	800	35.00	\$ 28,000.00
4	202	REMOVE & REPLACE SIDEWALK	SF	4,000	6.00	\$ 24,000.00
5	301	BITUMINOUS AGGREGATE BASE	CY	1,200	70.00	\$ 84,000.00
6	404	ASPHALT CONCRETE	CY	300	70.00	\$ 21,000.00
7	603	12" CONDUIT, TYPE B, 706.02 CLASS IV	LF	800	50.00	\$ 40,000.00
8	603	18" CONDUIT, TYPE B, 706.02 CLASS IV	LF	400	60.00	\$ 24,000.00
9	604	CATCH BASIN, CB-3	EA	8	1,200.00	\$ 9,600.00
10	604	STORM MANHOLE, TYPE 3	EA	4	1,500.00	\$ 6,000.00
11	609	CURB TYPE 6	LF	2,400	10.00	\$ 24,000.00
12	614	MAINTAINING TRAFFIC	LS	1	1,400.00	\$ 1,400.00

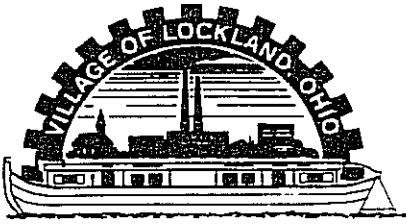
TOTAL ESTIMATED COST

\$ 300,000.00

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.
THE USEFUL LIFE OF THIS PROJECT IS 20 YEARS.


Daniel W. Schoster, P.E.





VILLAGE OF LOCKLAND

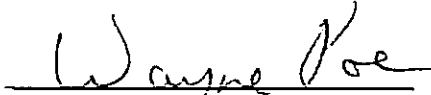
101 North Cooper Avenue
Lockland, Ohio 45215

Mayor
Jim Brown

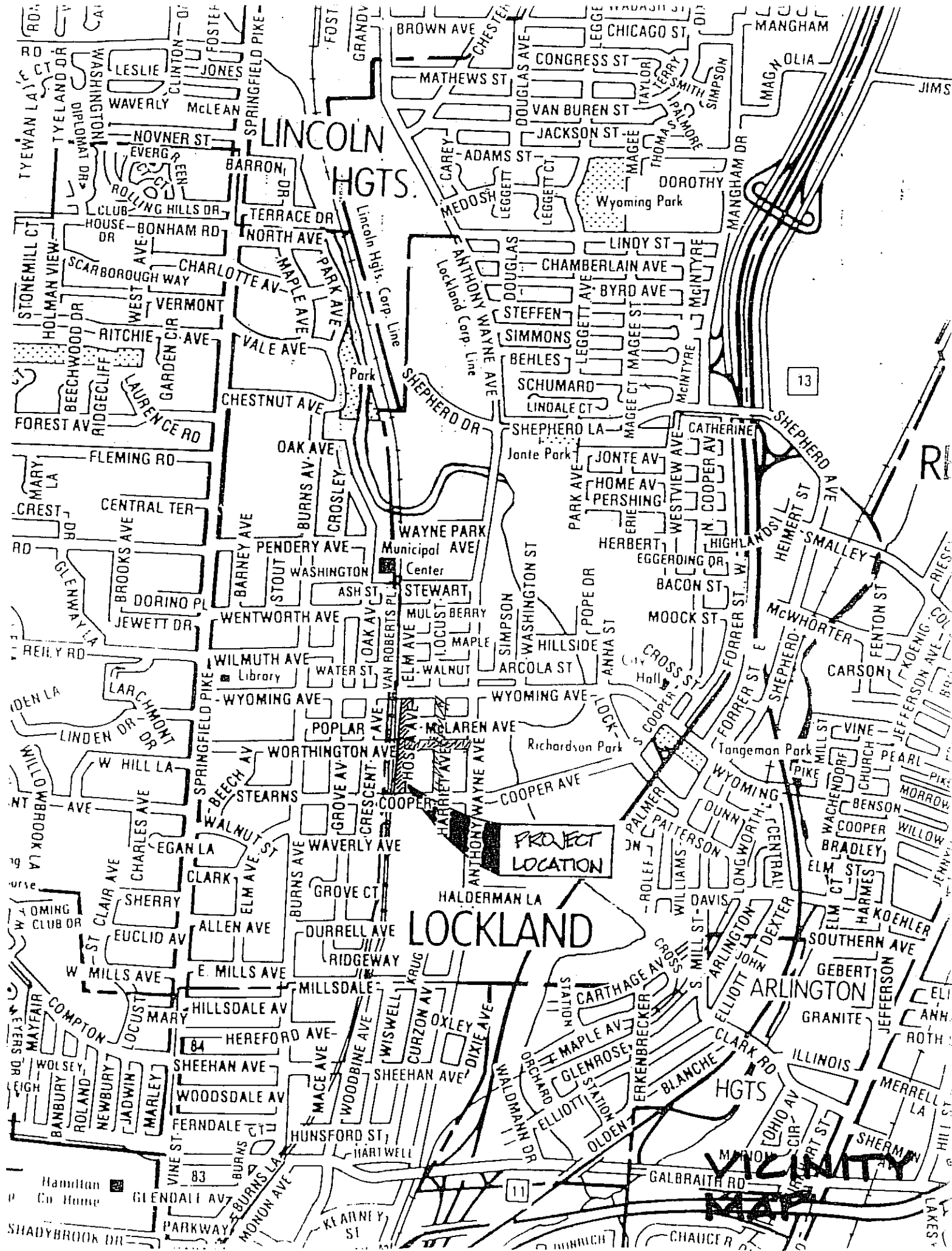
Village Administrator
Evonne Kovach

STATUS OF FUNDS

The Village of Lockland will use \$100,000.00 from its general fund as its participation for the Worthington Avenue Rehabilitation Project.



Wayne Poe, Clerk
Village of Lockland



LINCOLN
HIGHTS

LOCKLAND

PROJECT
LOCATION

VICINITY
MAP

Before July 20th two copies of this Budget must be submitted to County Auditor

City or
Village of Lockland

Hamilton

County, Ohio

(Date) June 15, 1990

Budget must be adopted by the Council or other legislative body on or before July 15th, and two copies must be submitted to the County Auditor before July 20th. FAILURE TO COMPLY WITH SEC. 5705.28 R. C. SHALL RESULT IN LOSS OF LOCAL GOVERNMENT FUNDING.

The Auditor of said County:

The following Budget year beginning January 1, 2000 has been adopted by Council and is herewith submitted for consideration of the County Budget Commission.

Signed Wayne Poe
Title clerk

SCHEDULE A

SUMMARY OF AMOUNTS REQUIRED FROM GENERAL PROPERTY TAX APPROVED BY BUDGET COMMISSION,
AND COUNTY AUDITOR'S ESTIMATED RATES

For Municipal Use		For Budget Commission Use		For County Auditor Use	
FUND (Include only those funds which are requesting general property tax revenue)	Budget Year Amount Requested of Budget Commission Inside/ Outside	Budget Year Amount Approved by Budget Commission Inside 10 Mill Limitation	Budget Year Amount to be Derived From Levies Outside 10 Mill Limitation	County Auditor's estimate of Tax Rate to be Levied	
				Inside 10 Mill Limit Budget Year	Outside 10 Mill Limit Budget Year
GOVERNMENT FUNDS	Column 1 XXXXXXXX	Column 2 XXXXXXXX	Column 3 XXXXXXXX	Column 4 XXXXXXXX	Column 5 XXXXXXXX
GENERAL FUND					
Fire Fund					
PROPRIETARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
FIDUCIARY FUNDS	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
TOTAL ALL FUNDS					

SCHEDULE B

LEVIES OUTSIDE 10 MILL LIMITATION, EXCLUSIVE OF DEBT LEVIES

FUND	Maximum Rate Authorized to be Levied	Tax Year County Auditor's Estimate of Yield of Levy (Carry to Schedule A, Column 3)
GENERAL FUND:		
Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
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Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
Current Expense Levy authorized by voters on / / , R.C. not to exceed years. Authorized under Sect.		
TOTAL GENERAL FUND OUTSIDE 10 MILL LIMITATION		
SPECIAL LEVY FUNDS:		
Fund, Levy authorized by voters on 11 / 7 / 95 , not to exceed years. Authorized under Section 5705, R.C.	2.5	
Fund, Levy authorized by voters on / / , not to exceed years. Authorized under Section , R.C.		
Fund, Levy authorized by voters on / / , not to exceed years. Authorized under Section , R.C.		
Fund, Levy authorized by voters on / / , not to exceed years. Authorized under Section , R.C.		
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Fund, Levy authorized by voters on / / , not to exceed years. Authorized under Section , R.C.		

FUND NAME: GENERAL FUND

FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

EXHIBIT

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 97 Actual (2)	For 98 Actual (3)	Current Year Estimated for 1999 (4)	Budget Year Estimated for 2000 (5)
REVENUES				
Local Taxes				
General Property Tax — Real Estate	134,445	126,426	127,500	130,000
Tangible Personal Property Tax	69,205	80,727	70,750	75,000
Municipal Income Tax	1,833,036	2,039,449	2,000,000	2,050,000
Other Local Taxes				
Total Local Taxes	2,041,686	2,245,602	2,198,250	2,255,000
Intergovernmental Revenues				
State Shared Taxes and Permits				
Local Government				
Estate Tax	34,976	85,151	85,500	97,000
Cigarette Tax	74,149	231,376	25,000	25,000
License Tax	183	253	500	500
Liquor and Beer Permits				
Gasoline Tax	6,361	4,676	5,000	5,000
Library and Local Government Support Fund				
Property Tax Allocation		4,465	4,500	4,500
Other State Shared Taxes and Permits	86,223	35,087	36,000	39,000
Total State Shared Taxes and Permits	201,892	361,009	156,500	160,000
Federal Grants or Aid				
State Grants or Aid	64,333	43,651	47,500	45,000
Other Grants or Aid		5,050	15,500	2,500
Total Intergovernmental Revenues	266,225	409,709	219,500	207,500
Special Assessments	4,522	5,990	5,000	5,000
Charges for Services	9,985	7,776	7,500	7,500
Fines, Licenses, and Permits	162,510	275,957	281,000	300,000
Miscellaneous	110,071	361,370	207,000	210,000
Other Financing Sources:				
Proceeds from Sale of Debt				
Transfers				
Advances				
Other Sources				
TOTAL REVENUE	2,594,999	3,306,404	2,918,250	2,985,000

7

7

GENERAL FUND
GOVERNMENTAL -- GENERAL

EXHIBIT I

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 97 Actual (2)	For 99 Actual (3)	Current Year Estimated for 1999 (4)	Budget Year Estimated for 2000 (5)
EXPENDITURES				
Security of Persons and Property				
Personal Services	913,447	936,263	1,154,500	1,200,000
Travel Transportation				
Contractual Services	49,071	50,892	90,000	30,000
Supplies and Materials	146,901	162,904	172,100	190,000
Capital Outlay	34,718	29,999	42,000	50,000
Total Security of Persons and Property	1,043,037	1,079,057	1,448,600	1,510,000
Public Health Services				
Personal Services				
Travel Transportation				
Contractual Services	3,553	3,684	3,620	3,700
Supplies and Materials	2,421	2,937	4,000	4,000
Capital Outlay				
Total Public Health Services	5,974	6,521	7,620	7,700
Leisure Time Activities				
Personal Services	30,951	24,596	32,500	39,000
Travel Transportation				
Contractual Services				
Supplies and Materials	33,923	29,074	31,000	32,000
Capital Outlay	15,401	40,053	75,000	15,000
Total Leisure Time Activities	90,175	93,723	138,500	95,000
Community Environment				
Personal Services	14,827	16,411	15,300	16,000
Travel Transportation				
Contractual Services	1,779	1,904		2,500
Supplies and Materials	2,913	5,018	7,000	8,000
Capital Outlay				
Total Community Environment	19,519	23,333	22,300	26,500
Basic Utility Services				
Personal Services	373,169	449,585	503,500	550,000
Travel Transportation				
Contractual Services	43,690	46,682	50,000	60,000
Supplies and Materials	20,537	36,706	37,500	40,000
Capital Outlay	14,365	14,365	14,370	15,000
Total Basic Utility Services	451,750	547,338	605,370	665,000

FUND NAME: GENERAL FUND

FUND TYPE/CLASSIFICATION: GOVERNMENTAL — GENERAL

5
EXHIBIT

This Exhibit is to be used for the General Fund Only

DESCRIPTION (1)	For 1997 Actual (2)	For 1999 Actual (3)	Current Year Estimated for 1999 (4)	Budget Year Estimated for 2000 (5)
Transportation				
Personal Services				
Travel Transportation				
Contractual Services			93,000	
Supplies and Materials	6,379	14,302	15,000	15,000
Capital Outlay				
Total Transportations	6,379	14,302	108,000	15,000
General Government				
Personal Services	223,412	259,596	287,900	325,000
Travel Transportation				
Contractual Services	136,394	157,163	357,100	180,000
Supplies and Materials	97,628	132,179	135,000	140,000
Capital Outlay	2,310	2,907	287,600	200,000
Total General Government	459,744	551,845	1,067,600	845,000
Debt Service				
Redemption of Principal				
Interest				
Other Debt Service				
Total Debt Service				
Other Uses of Funds				
Transfers	168,000	168,000	168,000	168,000
Advances				
Contingencies				
Other Uses of Funds				
Total Other Uses of Funds	168,000	168,000	168,000	168,000
TOTAL EXPENDITURES	2,234,577	2,484,119	3,565,990	3,322,200
Revenues over/(under) Expenditures	360,422	822,285	<647,740	<337,200
Beginning Unencumbered Balance	* 609,958	* 970,380	1,792,645	1,144,900
Ending Cash Fund Balance	970,380	1,792,645	1,144,925	807,700
Estimated Encumbrances (outstanding at year end)	32,510	41,551	50,000	50,000
Estimated Ending Unencumbered Fund Balance	937,870	1,751,114	1,094,925	757,700

*Use Cash Balance

FUND NAME: Fire / Life Squad Levy Fund

FUND TYPE/CLASSIFICATION: Special Revenue Fund

Reproduce as needed

To be used for any fund receiving property tax revenue except the General Fund.

DESCRIPTION (1)	For 97 Actual (2)	For 98 Actual (3)	Current Year Estimated for 1999 (4)	Budget Year Estimated for 2000 (5)
REVENUE	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
Property Tax	133,456	135,768	136,000	140,000
Transfers from General Fund	168,000	168,000	168,000	168,000
Charges for Services	59,258	63,942	64,000	65,000
State Grant	1,598			
Miscellaneous	8,403	2,331	500	1,000
TOTAL REVENUE	370,715	370,041	368,500	374,000
EXPENDITURES	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
(Identify each program and object code at the same level shown on Exhibit D)	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
(PROGRAM) (OBJECT)	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
Security of Persons + Property				
Personal Services	123,642	141,462	195,000	210,000
Contractual Services	32,019	38,463	40,000	40,000
Supplies + Materials	49,903	46,293	60,000	62,000
Capital Outlay	162,578	178,271	160,000	160,000
TOTAL EXPENDITURES	368,142	404,689	455,000	472,000
Revenues Over (Under) Expenditures	2,573	<34,648>	<86,500>	<98,000>
Beginning Unencumbered Fund Balance (Use Actual Cash Balance in Col. 2 and 3)	259,097	261,670	227,022	140,522
Ending Cash Fund Balance	261,670	227,022	140,522	42,522
Estimated Encumbrances (outstanding at end of year)	24,149	11,291	10,000	10,000
Estimated Ending Unencumbered Fund Balance	237,521	215,731	130,522	32,522

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/1000	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/201
				Personal Services	Other	Total	
GOVERNMENTAL: SPECIAL SERVICE: Street Construction Maintenance & Repair H.D.A.G. Drug Law Enforcement Law Enforcement Trust Mayor's Court Computer Alcohol Enforcement Commerce Park Development Shepherd Avenue Improvement	XXXXXXX XXXXXXX -0- 2,000 -0- -0- -0- -0- -0- -0- 50,000	XXXXXXX XXXXXXX 190,000 42,000 15,000 1,000 20,000 1,500 -0- -0- -0-	XXXXXXX XXXXXXX 190,000 42,000 15,000 1,000 20,000 1,500 -0- -0- -0-	XXXXXXX XXXXXXX -0- -0- -0- -0- -0- -0- -0- -0- -0-	XXXXXXX XXXXXXX 190,000 42,000 15,000 1,000 20,000 1,500 -0- 50,000	XXXXXXX XXXXXXX 190,000 42,000 15,000 1,000 20,000 1,500 -0- 50,000	XXXXXXX XXXXXXX -0- -0- -0- -0- -0- -0- -0- -0- -0-
TOTAL SPECIAL REVENUE FUNDS	52,000	267,500	319,500	-0-	319,500	319,500	-0-
DEBT SERVICE FUNDS Bond Retirement	XXXXXXX -0-	XXXXXXX 200,000	XXXXXXX 200,000	XXXXXXX -0-	XXXXXXX 200,000	XXXXXXX 200,000	XXXXXXX -0-
TOTAL DEBT SERVICE FUNDS	-0-	200,000	200,000	-0-	200,000	200,000	-0-
CAPITAL PROJECT FUNDS	XXXXXXX	XXXXXXX	XXXXXXX	XXXXXXX	XXXXXXX	XXXXXXX	XXXXXXX
TOTAL CAPITAL PROJECTS							

FUND List All Funds Individually Unless Reported on Exhibit I or II	Estimated Unencumbered Fund Balance 1/1/2000	Budget Year Estimated Receipt	Total Available For Expenditures	Budget Year Expenditures and Encumbrances			Estimated Unencumbered Balance 12/31/2000
				Personal Services	Other	Total	
PROPRIETARY: ENTERPRISE FUNDS Water Sewer	XXXXXXXXXX XXXXXXXXXX -0- 120,000	XXXXXXXXXX XXXXXXXXXX 525,000 500,000	XXXXXXXXXX XXXXXXXXXX 525,000 680,000	XXXXXXXXXX XXXXXXXXXX 300,000 -0-	XXXXXXXXXX XXXXXXXXXX 225,000 530,000	XXXXXXXXXX XXXXXXXXXX 525,000 530,000	XXXXXXXXXX XXXXXXXXXX -0- 150,000
TOTAL ENTERPRISE FUNDS	140,000	1,025,000	1,205,000	300,000	755,000	1,055,000	150,000
INTERNAL SERVICE FUNDS	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
TOTAL INTERNAL SERVICE FUNDS							
FIDUCIARY: TRUST AND AGENCY FUNDS	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX
TOTAL TRUST AND AGENCY FUNDS							
GRANT AND MEMORANDUM ONLY							

(Do Not Include Expense to be Paid from Bond Issues)

DESCRIPTION

**Estimated Cost
of Permanent
Improvement**

Amount to be
Budgeted During
Current Year

Name of Paying Fund

being budgeted, list each contemplated disbursement for permanent improvement, exclusive of any expense to be paid from bond issues, by the fund from which the same are to be made. Examples for describing the permanent improvements are: placement, vehicle purchase, furnishing offices, appliances for fire department

(Section 5705.29. Revised Code)

List the amounts required for the payment of each judgement expected to be paid during the year being budgeted.

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES

The Budget Commission of _____ County, Ohio, hereby makes the following Official Certificate of Estimated Resources for the city/village of _____ for the BUDGET YEAR beginning January 1st, ____.

FUND	Estimated Unencumbered Balance January 1, ____	Real Estate Property Tax	Personal Property Tax	Local Government Money	Rollback, Homestead Personal Property Tax Exemption	Other Sources	Total
GOVERNMENTAL FUND TYPE							
General Fund							
Special Revenue Funds							
Debt Service Funds							
Capital Project Funds							
Special Assessment Fund							
PROPRIETARY FUND TYPE							
Enterprise Funds							
Internal Service Funds							
FIDUCIARY FUND TYPE							
Trust and Agency Funds							
TOTAL ALL FUNDS							

The Budget Commission further certifies that its action on the foregoing budget and the County Auditor's estimate of the rate of each tax necessary to be levied within and outside the 10 mill limitation is set forth in the proper columns of the preceding pages and the total amount approved for each fund must govern the amount of appropriation from such fund.

Date _____

 Budget
 Commission

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES - Continued

FUND	Estimated Unencumbered Balance January 1, ____	Real Estate Property Tax	Personal Property Tax	Local Government Allocation	Rollback, Homestead and Personal Property Tax Exemption	Other Sources	Total
GOVERNMENT FUNDS:							
GENERAL FUND							
General Fund	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXX XXXXXXXXXX
SPECIAL REVENUE FUNDS:							
Street Construction Maintenance/Repair	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
State Highway Improvement Fund							
Cemetery Fund							
Parks and Recreation Fund							
Federal Grant Fund							
State Grant Fund							
Law Enforcement Trust Fund							
Drug Law Enforcement Fund							
Other Special Revenue Funds							
TOTAL SPECIAL REVENUE FUNDS							
DEBT SERVICE FUNDS							
General Obligation Bond Fund	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Other Debt Service Funds							
TOTAL DEBT SERVICE FUNDS							
CAPITAL PROJECT FUNDS:							
Construction Fund	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX
Federal Grant Fund							
Other Capital Project Funds							
TOTAL CAPITAL PROJECT FUNDS							

OFFICIAL CERTIFICATE OF ESTIMATED RESOURCES — Continued

FUND	Estimated Unencumbered Balance January 1, ____	Real Estate Property Tax	Personal Property Tax	Local Government Allocation	Rollback, Homestead and Personal Property Tax Exemption	Other Sources	Total
SPECIAL ASSESSMENT FUNDS:	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
Special Assessment Bond Retirement							
Special Assessment Improvement Fund							
Special Assessment Operating Fund							
Other Special Assessment Funds (specify)							
TOTAL SPECIAL ASSESSMENT FUNDS							
ENTERPRISE FUNDS:	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
Water Fund							
Sanitary Sewer Fund							
Electric Fund							
Parking Fund							
Swimming Pool Fund							
Mortgage Debt Service Fund							
Debt Service Reserve Fund							
Utilities Deposit Fund							
Utilities Improvement Fund							
Other Enterprise Funds							
TOTAL ENTERPRISE FUNDS							
INTERNAL/SERVICE FUNDS:	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
Revolving Fund							
Other Internal Service Funds							
TOTAL INTERNAL/SERVICE FUNDS							

COUNTY AUDITOR'S ESTIMATE

Tax Levies and Rates for _____, In _____ City/Village

Tax Valuation \$ _____

	Amount Approved By Budget Commission	County Auditor's Estimate of Rate in Mills
LEVIES WITHIN 10 MILL LIMITATION	XXXXXXXXXXXX	XXXXXXXXXXXX
County		
Township		
School		
Village		
City		
TOTAL		
LEVIES OUTSIDE OF 10 MILL LIMITATION		
County		
Township		
School		
Village		
City		
State		
TOTAL		
TOTAL LEVY FOR ALL PURPOSES		

Deputy Auditor	County Auditor	_____	City/Village FOR FISCAL YEAR BEGINNING JANUARY 1, _____	BUDGET OF COUNTY
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ADDITIONAL SUPPORT INFORMATION

For Program Year 2000 (July 1, 2000 through June 30, 2001), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed _____

Poor X _____

Fair _____

Good _____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded:

The existing facility has numerous base failures. The roadway is very rough and full of potholes, washboarding and alligator cracking. The storm sewer system has failed and is inadequate to handle the storm water efficiently. Total reconstruction is the only option to make this road useable.

2) If State Capital Improvement Program funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 2000) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

4 weeks/months (Circle one)

Are preliminary plans or engineering completed?

Yes No

Are detailed construction plans completed?

Yes No

Are all right-of-way and easements acquired?*

Yes No N/A

*Please answer the following if applicable:

No. of parcels needed for project: _____ Of these, how many are

Takes _____, Temporary _____, Permanent _____

On a separate sheet, explain the status of the ROW acquisition process of this project for any parcels not yet acquired. -

Are all utility coordination's completed? Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 4 weeks/months

- 3) How will the proposed project affect the general health and safety of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, commerce, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data.

The proposed project will impact the safety of the area by providing a smooth driving surface that will not longer force vehicles to cross the center of the roadway to avoid potholes. It will impact the welfare of the area by providing enhanced property values and eliminating any standing water that has occurred in the past from potholes and lack of adequate storm sewers.

- 4) What types of funds and what percent of the project cost are to be utilized for matching funds for this project ?

Federal _____ % ODOT _____ % Local \$100,000 33 %

MRF _____ % OWDA _____ % CDBG _____ %

Other _____ %

Note: If MRF funds are being used for matching funds, the MRF application must have been filed by August 6, 1999 for this project with the Hamilton County Engineer's Office.

- 5) Has any formal action by a federal, state, or local government agency resulted in a ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the approved legislation must be submitted with the application. THE BAN MUST HAVE BEEN CAUSED BY A STRUCTURAL/OPERATIONAL PROBLEM TO BE VALID.

Complete Ban _____ Other Ban _____
(specify)

No Ban X

Will the ban be removed after the project is completed?

Yes _____ No _____

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

ADT = 1289 X 1.20 = 1547 users/day

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction prioritized PY 2000 applications from one through five? (See attached sheet to list projects.)

Yes X No

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

Worthington Avenue improvements will affect residents in the communities of Lockland, Wyoming, Reading, and Lincoln Heights.

- 9) For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS Proposed LOS

If the proposed LOS is not "C" or better, explain why LOS "C" cannot be achieved. (Attach separate sheets if necessary.)

How will the proposed project alleviate serious traffic problems or hazards?

- 10) Will the proposed project generate user fees or assessments?

Yes No x

If yes, what user fees and/or assessments will be utilized?

- 11) How will the proposed project enhance economic growth? (Please be specific)
_____ No significant economic growth. _____
- 12) What fees, levies or taxes pertains to the proposed project? (Note: Item must be related to the type of infrastructure applied for. Example: a road improvement project may not count fees to water customers for points, or vice-versa)
- _____ License plate tax _____

ADDITIONAL SUPPORT INFORMATION

PRIORITY LIST OF PROJECTS PROGRAM YEAR 2000

ROUND 14

Name of Jurisdiction: Village of Lockland

Please supply the Integrating Committee a listing, *in order of priority*, of all projects applied for in this round of funding. A maximum of five projects may be listed for the purpose of assigning priority.

<u>Priority</u>	<u>Name of Project (as listed on the application)</u>
1	<u>Smalley and Shepherd Lane Improvements</u>
2	<u>Mill Street Reconstruction</u>
3	<u>Worthington Avenue Reconstruction</u>
4	<u>Wyoming Avenue Rehabilitation</u>
5	<u></u>

**SCIP/LTIP PROGRAM
ROUND 14 - PROGRAM YEAR 2000
PROJECT SELECTION CRITERIA
JULY 1, 2000 TO JUNE 30, 2001**

NAME OF APPLICANT: Lockland

NAME OF PROJECT: Woolhington

SCIP

LTIP

FIELD SCORE: 252

FIELD SCORE: 106

APPEAL SCORE: _____

APPEAL SCORE: _____

FINAL SCORE: _____

FINAL SCORE: _____

NOTE: See the attached "Addendum To The Rating System" for definitions, explanations and clarifications to each of the criterion points of this rating system.

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

25 - Failed	<u>EXCESSIVE CROWN</u>	SCIP	<u>15</u>	X	<u>5</u>	=	<u>75</u>
23 - Critical	<u>DRIVEWAYS HAVE WEDGES</u>						
20 - Very Poor	<u>IN GUTTERS W/ CURB CUT</u>	LTIP	<u>15</u>	X	<u>1</u>	=	<u>15</u>
17 - Poor							
15 - Moderately Poor	<u>PIPES ALONG GUTTERLINE, BUT EXCESSIVE</u>						
10 - Moderately Fair	<u>CROWN NOT CITED AS PROBLEM.</u>						
5 - Fair Condition	<u>WAKE UP BILL!</u>						
0 - Good or Better							

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

25 - Highly significant importance	SCIP	<u>0</u>	X	<u>1</u>	=	<u>0</u>
20 - Considerably significant importance						
15 - Moderate importance	LTIP	<u>0</u>	X	<u>4</u>	=	<u>0</u>
10 - Minimal importance						
0 - No measurable impact						

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

25 - Highly significant importance	SCIP	<u>0</u>	X	<u>1</u>	=	<u>0</u>
20 - Considerably significant importance						
15 - Moderate importance	LTIP	<u>0</u>	X	<u>0</u>	=	<u>0</u>
10 - Minimal importance						
0 - No measurable impact						

4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?
Note: Jurisdiction's priority listing (part of the Additional Support Information) must be filed with application(s).

25 - First priority project	SCIP	<u>15</u>	X	<u>3</u>	=	<u>45</u>	120
20 - Second priority project							
15 - Third priority project	LTIP	<u>15</u>	X	<u>1</u>	=	<u>15</u>	30
10 - Fourth priority project							
5 - Fifth priority project or lower							

- 5) Will the completed project generate user fees or assessments? 170
- | | | | | | | | |
|---------|-------------|-----------|---|----------|---|-----------|----|
| 10 - No | <u>SCIP</u> | <u>10</u> | X | <u>5</u> | = | <u>50</u> | |
| 0 - Yes | <u>LTIP</u> | <u>10</u> | X | <u>0</u> | = | <u>0</u> | 70 |
- 6) Economic Growth – How the completed project will enhance economic growth (See definitions).
- | | | | | | | | |
|---|-------------|----------|---|----------|---|----------|--|
| 10 - The project will <u>directly</u> secure <u>significant</u> new employers | <u>SCIP</u> | <u>0</u> | X | <u>0</u> | = | <u>0</u> | |
| 7 - The project will <u>directly</u> secure new employers | | | | | | | |
| 5 - The project will secure new employers | <u>LTIP</u> | <u>0</u> | X | <u>4</u> | = | <u>0</u> | |
| 3 - The project will permit more development | | | | | | | |
| 0 - The project will not impact development | | | | | | | |
- 7) Matching Funds - LOCAL
- | | | | | | | | |
|---|-------------|----------|---|----------|---|-----------|-----|
| 10 - This project is a loan or credit enhancement | <u>SCIP</u> | <u>6</u> | X | <u>5</u> | = | <u>30</u> | 200 |
| 10 - 50% or higher | | | | | | | |
| 8 - 40% to 49.99% | <u>LTIP</u> | <u>6</u> | X | <u>1</u> | = | <u>6</u> | 36 |
| 6 - 30% to 39.99% | | | | | | | |
| 4 - 20% to 29.99% | | | | | | | |
| 2 - 10% to 19.99% | | | | | | | |
| 0 - Less than 10% | | | | | | | |
- 8) Matching Funds - OTHER
- | | | | | | | | |
|--------------------|-------------|----------|---|----------|---|----------|--|
| 10 - 50% or higher | <u>SCIP</u> | <u>0</u> | X | <u>2</u> | = | <u>0</u> | |
| 8 - 40% to 49.99% | | | | | | | |
| 6 - 30% to 39.99% | <u>LTIP</u> | <u>0</u> | X | <u>5</u> | = | <u>0</u> | |
| 4 - 20% to 29.99% | | | | | | | |
| 2 - 10% to 19.99% | | | | | | | |
| 1 - 1% to 9.99% | | | | | | | |
| 0 - Less than 1% | | | | | | | |
- 9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district? (See Addendum for definitions)
- | | | | | | | | |
|---|-------------|----------|---|-----------|---|-----------|----|
| 10 - Project design is for future demand. | <u>SCIP</u> | <u>0</u> | X | <u>0</u> | = | <u>0</u> | |
| 8 - Project design is for partial future demand. | | | | | | | |
| 6 - Project design is for current demand. | <u>LTIP</u> | <u>2</u> | X | <u>10</u> | = | <u>20</u> | 56 |
| 4 - Project design is for minimal increase in capacity. | | | | | | | |
| 2 - Project design is for no increase in capacity. | | | | | | | |
- 10) Ability to Proceed - If SCIP/LTIP funds are granted, when would the construction contract be awarded? (See Addendum concerning delinquent projects)
- | | | | | | | | |
|--|-------------|----------|---|----------|---|-----------|-----|
| | <u>SCIP</u> | <u>5</u> | X | <u>5</u> | = | <u>25</u> | 225 |
| | <u>LTIP</u> | <u>5</u> | X | <u>5</u> | = | <u>25</u> | 51 |
- 5 - Will be under contract by December 31, 2000 and no delinquent projects in Rounds 11 & 12
- 3 - Will be under contract by March 31, 2001 and/or one delinquent project in Rounds 11 & 12
- 0 - Will not be under contract by March 31, 2001 and/or more than one delinquent project in Rounds 11 & 12

- 11) Does the infrastructure have regional impact? Consider origination and destination of traffic, functional classifications, size of service area, number of jurisdictions served, etc. (See Addendum for definitions) 225
- 10 - Major impact SCIP 0 x 0 = 0
- 8 - LTIP 20 x 1 = 20 91
- 6 - Moderate impact
- 4 -
- 2 - Minimal or no impact
-
- 12) What is the overall economic health of the jurisdiction?
- 10 Points SCIP 4 x 2 = 8 233
- 8 Points
- 6 Points LTIP 0 x 0 = 0
- 4 Points
- 2 Points
-
- 13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?
- 10 - Complete ban, facility closed SCIP 0 x 2 = 0
- 8 - 80% reduction in legal load or 4 wheeled vehicles only
- 7 - Moratorium on future development, *not* functioning for current demand
- 6 - 60% reduction in legal load
- 5 - Moratorium on future development, functioning for current demand
- 4 - 40% reduction in legal load
- 2 - 20% reduction in legal load LTIP 0 x 2 = 0
- 0 - Less than 20% reduction in legal load
-
- 14) What is the total number of existing daily users that will benefit as a result of the proposed project?
- 10 - 16,000 or more SCIP 2 x 2 = 4 237
- 8 - 12,000 to 15,999
- 6 - 8,000 to 11,999 LTIP 2 x 5 = 10 91
- 4 - 4,000 to 7,999
- 2 - 3,999 and under
-
- 15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure? (Provide certification of which fees have been enacted.)
- 5 - Two or more of the above SCIP 3 x 5 = 15 252
- 3 - One of the above
- 0 - None of the above LTIP 3 x 5 = 15 126

ADDENDUM TO THE RATING SYSTEM

General Statement

Points awarded for all items will be based on engineering experience, field verification, application information and other information supplied by the applicant, which is deemed to be relevant by the Support Staff. The examples listed below are not a complete list, but only a small sampling of situations that may be relevant to a given project.

Criterion 1 - Condition

Condition is based on the amount of deterioration that is field verified or documented exclusive of capacity, serviceability, or health and safety issues. Condition is rated only on the facility being repaired or abandoned. (Documentation may include: ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application.)

Definitions:

Failed Condition - requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system; Hydrants: completely non functioning and replacement parts are unavailable.)

Critical Condition - requires moderate or partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway/curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system; Hydrants: some non-functioning, others obsolete and replacement parts are unavailable.)

Very Poor Condition - requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or minor replacement of pipe sections; Hydrants: non-functioning and replacement parts are available.)

Poor Condition - requires standard rehabilitation to maintain integrity (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs; Hydrants: functional, but leaking and replacement parts are unavailable.)

Moderately Poor Condition - requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair; Hydrants: functional and replacement parts are available.)

Moderately Fair Condition - requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

Fair Condition - requires routine maintenance to maintain integrity. (E.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

Good or Better Condition - little to no maintenance required to maintain integrity.

Note: If the infrastructure is in "good" or better condition, it will NOT be considered for SCIP/LTIP funding unless it is an expansion Project that will improve serviceability.

Criterion 2 – Safety

Definitions:

The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury (e.g. widening existing roadway lanes to standard widths, adding lanes to a roadway or bridge to increase capacity or alleviate congestion, replacing non functioning hydrants, increasing capacity to a water system, etc. (***Documentation required.***))

Note: Examples listed above are not a complete list, but only a small sampling of situations that may be relevant to a given project. Each project is looked at on an individual basis to determine if any aspects of this category apply.

Criterion 3 – Health

Definitions:

The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area (e.g. Improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.)

Note: Examples listed above are not a complete list, but only a small sampling of situations that may be relevant to a given project. Each project is looked at on an individual basis to determine if any aspects of this category apply.

Criterion 4 – Jurisdiction's Priority Listing

The jurisdiction ***shall*** submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance. The form is included in the Additional Support Information.

Criterion 5 – Generate Fees

Will the local jurisdiction assess fees for the usage of the facility or its products once the project is completed (example: rates for water or sewer). ***The applying jurisdiction must submit documentation.***

Criterion 6 – Economic Growth

Will the completed project enhance economic growth and/or development in the service area?

Definitions:

Directly secure significant new employers: The project is specifically designed to secure a particular development/employer(s), which will add at least 100 or more new employees. The applicant agency must supply specific details of the development, the employer(s), and number of new permanent employees.

Directly secure new employers: The project is specifically designed to secure development/employers, which will add at least 50 new permanent employees. The applying agency must supply details of the development and the type and number of new permanent employees.

Secure new employers: The project is specifically designed to secure development/employers, which will add 10 or more new permanent employees. The applying agency must submit details.

Permit more development: The project is designed to permit additional business development. The applicant must supply details.

The project will not impact development: The project will have no impact on business development.

Criterion 7 – Matching Funds - Local

The percentage of matching funds which come directly from the budget of the applying local government.

Criterion 8 – Matching Funds - Other

The percentage of matching funds that come directly from outside funding sources.

Criterion 9 – Alleviate Traffic Problems

The jurisdiction shall provide a narrative, along with pertinent support documentation, describing the existing deficiencies and showing how congestion or hazards will be reduced or eliminated and how service will be improved to meet the needs of any expected growth or development. A formal capacity analysis accompanying the application would be beneficial. Projected traffic or demand should be calculated as follows:

$$\text{Existing users} \times \text{design year factor} = \text{projected users}$$

<u>Design Year</u>	<u>Design year factor</u>		
	<u>Urban</u>	<u>Suburban</u>	<u>Rural</u>
20	1.40	1.70	1.60
10	1.20	1.35	1.30

Definitions:

Future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for twenty-year projected demand or fully developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Criterion 9 – Alleviate Traffic Problems - continued

Partial future demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for ten-year projected demand or partially developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

Current demand – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service only for existing demand and conditions.

Minimal increase – Project will reduce but not eliminate existing congestion or deficiencies and will provide a minimal but less than sufficient increase in existing capacity or service for existing demand and conditions.

No increase – Project will have no effect on existing congestion or deficiencies and provide no increase in capacity or service for existing demand and conditions.

Criterion 10 - Ability to Proceed

The Support Staff will assign points based on engineering experience and OPWC defined delinquent projects. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. A jurisdiction receiving approval for a project and subsequently canceling the same after the bid date on the application may be considered as having a delinquent project.

Criterion 11 - Regional Impact

Definitions:

Major Impact - Roads: major multi-jurisdictional route, primary feed route to an Interstate, Federal Aid Primary routes.

Moderate Impact - Roads: principal thoroughfares, Federal Aid Urban routes

Minimal / No Impact - Roads: cul-de-sacs, subdivision streets

Criterion 12 – Economic Health

The jurisdiction's economic health is predetermined by the District 2 Integrating Committee. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

Criterion 13 - Ban

The jurisdiction shall provide documentation to show that a facility ban or moratorium has been placed. The ban or moratorium must have been caused by a structural or operational problem. Points will only be awarded if the end result of the project will cause the ban to be lifted.

Criterion 14 - Users

The applying jurisdiction shall provide documentation. Appropriate documentation may include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

Criterion 15 – Fees, Levies, Etc.

The applying jurisdiction shall provide documentation to show which fees, levies or taxes is dedicated toward the type of infrastructure being applied for.

VILLAGE OF LOCKLAND, OHIO
RESOLUTION #99-R- 8

A RESOLUTION AUTHORIZING THE VILLAGE ADMINISTRATOR TO EXECUTE AND FILE WITH THE DISTRICT PUBLIC WORKS INTEGRATING COMMITTEE A FINAL APPLICATION ON BEHALF OF THE VILLAGE OF LOCKLAND FOR AID IN PARTLY FINANCING THE COST OF CAPITAL IMPROVEMENT PROJECTS PURSUANT TO THE STATE OF OHIO CAPITAL IMPROVEMENT PROGRAM ROUND 14; TO EXECUTE CONTRACT AGREEMENTS WITH THE STATE OF OHIO; AND AUTHORIZING THE VILLAGE COUNCIL TO PERFORM ALL ACTS AND EXECUTE ALL DOCUMENTS THEY CONSIDER NECESSARY TO FULFILL THE VILLAGE OF LOCKLAND'S OBLIGATIONS UNDER SAID APPLICATIONS AND CORRESPONDING AGREEMENTS AND TO COMPLY WITH ALL RELEVANT LOCAL, STATE OR FEDERAL LEGAL REQUIREMENTS AND TO PROVIDE ASSURANCES AND ADDITIONAL INFORMATION AS REQUIRED BY THE OHIO PUBLIC WORKS COMMITTEE, AND DECLARING AN EMERGENCY.

WHEREAS, The Village of Lockland is applying for aid to finance the cost of capital improvement projects Pursuant to the State of Ohio Capital Improvement Program Round 14; and

WHEREAS, The Director of the Ohio Public Works Commission is authorized to assist in the financing of Capital Improvement Projects; and

WHEREAS, The contract for financial assistance will impose certain obligations upon the applicant.

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Lockland, State of Ohio, that:

SECTION I. the Village Administrator is authorized to execute and file an application on behalf of the Village of Lockland with the Ohio Public Works Commission to finance the cost of capital improvement projects pursuant to the State of Ohio Capital Improvement Program Round 14.

SECTION II the Village Administrator is authorized to execute contract agreements with the State of Ohio.

SECTION III the Village Administrator is authorized to perform all acts and execute all documents considered necessary to fulfill the Village of Lockland's obligations under said application and corresponding agreements and to comply with all relevant local, state or federal legal requirements and to provide assurances and additional information as required by the Ohio Public Works Commission of the Ohio Small Government Capital Improvement Commission.

SECTION IV This Resolution is an emergency measure necessary for the immediate preservation of the public peace, health, safety and welfare and shall go into effect immediately upon it's passage. The reason for this emergency is to enable the Village to make proper application and execute all required documents by the application due date in order to be considered for Round 14.

ADOPTED 20 day of July, 1999.

ATTESTED: Wayne Poe
Wayne Poe- Clerk

Jim Brown
Jim Brown- Mayor